



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE ACTING AS THE DESIGNATED/ELECTED OFFICE

In re: Patent application of John D. LAMBRIS

U.S. Appl. No.

10/528,496

I.A. Appl. No.:

PCT/US03/29653

I.A. Filing Date: 22 September 2003 (22.09.2003)

Confirmation No.: 4405

COMPSTATIN ANALOGS WITH

IMPROVED ACTIVITY

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450



# PETITION UNDER 37 C.F.R. §1.181(a) REQUESTING WITHDRAWAL OF HOLDING OF ABANDONMENT

A Notification of Abandonment (PCT/DO/EO/909) was mailed by the United States Patent and Trademark Office in its capacity as a Designated/Elected Office on November 24, 2006 in connection with the above-identified application. The Notification of Abandonment indicated that the application was abandoned for failure to respond to the Notification of Missing Requirements (Form PCT/DO/EO/922) mailed July 5, 2006, within the time period set therein. It is respectfully submitted that the Notification of Abandonment is in error and should be withdrawn, for the reasons set forth below.

The U.S. Patent and Trademark Office in its capacity as a Designated/Elected Office mailed a notification to comply with requirements for patent applications CONTAINING NUCLEOTIDE AND/OR AMINO ACID SEQUENCE DISCLOSURES (PCT/DO/EO/922) on July 5, 2006. A shortened statutory period for reply was set at two months after the date of mailing of the Notification, or by September 5, 2006.

Extensions of time are available under the provisions of 37 CFR 1.136(a), as set forth on the Notification. Thus, the final date on which a response may be filed in response to the Notification is February 5, 2007.

CERTIFICATE OF MAILING

Date of Deposit: January 12, 2007

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID ON THE DATE MOICAYED ABOVE AND IS ADDRESSED TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandra, VA

> Signature of person mailing page JANET E. REED, REG. NO. 36,252 ype or print name of person

In support of this petition, Applicants hereby submit the following documents:

- 1. copy of Notification of Abandonment;
- 2. copy of Applicant's Amendment and Response to NOTIFICATION TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE AND/OR AMINO ACID SEQUENCE DISCLOSURES (PCT/DO/EO/922) submitted December 4, 2006 and accompanied by a Petition for three (3) month extension of time and the requisite fee, all mailed by U.S. Express Mail (copy of date-stamped Express Mail receipt enclosed); and
- 3. copy of return postcard from the U.S. Patent and Trademark Office for the documents listed above, date-stamped December 4, 2006.

It is respectfully submitted that no fee for this petition is due, because the application is not in fact abandoned (MPEP §711.03). However, the Commissioner is hereby authorized to charge any fees in connection with the petition to Deposit Account No. 50-0573.

Acknowledgment that this petition has been granted and that the application has been restored to pending status are respectfully requested.

Respectfully submitted,

BA\_

JANET E REED

Registration No. 36,252

Drinker Biddle & Reath LLP

One Logan Square

18<sup>th</sup> and Cherry Streets

Philadelphia, PA 19103-6996

TEL: (215) 988-3111 FAX: (215) 988-2757

Attorney for Applicant



23973

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMI United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov UNITED STATES DEPARTMENT OF COMMERC

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/528,496

ATTN: INTELLECTUAL PROPERTY GROUP

**DRINKER BIDDLE & REATH** 

18TH AND CHERRY STREETS

PHILADELPHIA, PA 19103-6996

ONE LOGAN SQUARE

John D Lambris

UPN-4470 / P2942

INTERNATIONAL APPLICATION NO. PCT/US03/29653

I.A. FILING DATE

PRIORITY DATE

09/22/2003

09/20/2002

**CONFIRMATION NO. 4405** 

371

ABANDONMENT/TERMINATION

**LETTER** 

\*OC000000021351777\*

Date Mailed: 11/24/2006

### NOTIFICATION OF ABANDONMENT

The United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495) has made the following determination:

• Applicant has failed to respond to the notification of MISSING REQUIREMENTS (Form PCT/DO/EO/965) mailed 07/05/2006 within the time period set therein.

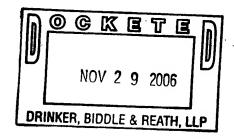
Therefore, the above identified application failed to meet the requirements of 35 U.S.C. 371 and 37 CFR 1.495, and is ABANDONED AS TO THE UNITED STATES OF AMERICA.

DONNA S GREENE

Telephone: (703) 308-9140 EXT 222

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/909 (371 Abandonment Notice)





# MAP13 Rec'd PCT/PTO 04 DEC 2006'

Attorney Docket No. 46483-0001-00-US (P2942) Re: Patent April Cation of John D. LAMBRIS Appl. No.: 10/528,496 (I.A. PCT/US03/29653)

Filed: I.A. 22 Sept 2003 (22.09.2003)

For: COMPSTATIN ANALOGS WITH IMPROVED ACTIVITY

The U.S. Patent Office acknowledges and has stamped hereon the date of receipt of the attached paper(s):

(1) Amendment/Response to Notification of Comply with Requirements for Patent Applications Containing Nucleotide and/or Amino Acid Sequence Disclosures
(2) copy of 371 Formalities Letter;
(3) Written (on paper) Sequence Listing;
(4) CRF (diskette) of Sequence Listing (5) Check for \$1020 EXT of Time (3 mos)

Certificate of Mailing § 1.10: December 4, 2006

EXPRESS LABEL NO .:

**EV299884746US** 

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE ACTING AS THE DESIGNATED/ELECTED OFFICE

In re: Patent application of John D. LAMBRIS

U.S. Appl. No.

10/528,496

I.A. Appl. No.:

PCT/US03/29653

I.A. Filing Date: 22 September 2003 (22.09.2003)

Confirmation No.: 4405

For:

COMPSTATIN ANALOGS WITH

IMPROVED ACTIVITY

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# AMENDMENT AND RESPONSE TO NOTIFICATION TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING **NUCLEOTIDE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

This Amendment and Response to the NOTIFICATION TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE AND/OR AMINO ACID SEQUENCE DISCLOSURES mailed on July 5, 2006 is being filed within the third month after expiration of the shortened statutory period set in the Notification. A separate Petition for Extension of Time under 37 CFR §1.136(a) and appropriate fee, which resets the due date for response to December 5, 2006, accompany this response. Authorization is hereby provided to charge any additional fee associated with this response or to credit any over-payment to Deposit Account No. 50-0573..

In response to the Notification, the following are enclosed herewith:

- copy of the aforesaid Notification; (1)
- diskette containing an initial computer readable form of the Sequence (2) Listing; and
- (3) written (on paper) copy of the Sequence Listing.

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.10

EXPRESS MAIL Mailing Label Number: Date of Deposit:

EV299884146US

Date of Deposit: December 4, 2006

I hereby certify that this correspondence, along with any paper referred to as being attached or enclosed, and/or fee, is being deposited with the United States Postal Service, "EXPRESS MAIL-POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10, on the date indicated above, and addressed to: Mail Stop PCT, Commissioner for service under 37 C.F.K. 1.10, on the data included Patents, P.O. Box 1450, Alexandria, VA 22313/1450

Signature of person mailing page 2

KAREN M. SPINA

Type or print name of person

# Amendments to the Specification:

Please insert the enclosed Sequence Listing into the specification.

### **REMARKS**

A Sequence Listing has been inserted into the application in written (on paper) form. A computer readable form of the Sequence Listing is also enclosed herewith.

# STATEMENT TO SUPPORT FILING AND SUBMISSION IN ACCORDANCE WITH 37 C.F.R. §§1.821-1.825

I hereby state that the sequence listing information recorded in computer readable form submitted herewith is identical to the written (on paper) sequence listing submitted herewith. The submission includes no new matter.

Respectfully submitted,

JOHN D. LAMBRIS

JANET E. REED

Registration No. 36,252

Drinker Biddle & Reath LLP

One Logan Square

18th and Cherry Streets

Philadelphia, PA 19103-6996

TEL: (215) 988-3111

FAX: (215) 988-2757

Attorney for Applicant

Under the paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless if displays a valid OMB control number.

PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a) FY 2006		Docket Number (Optional) 46483-0001-00-US (P2942) (formerly UPN-4470)		
(Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).)  Application Number 10/528.496 (I.A.PCT/US03/29653)				
Application Number 10/528,496 (I.A.PCT/US03/29653) Filed I.A. 22 SEPTEMBER 2003)  For COMPSTATIN ANALOGS WITH IMPROVED ACTIVITY				
Art Unit n/a		Examiner n/a		
This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.				
The requested extension and fee are as follows (check time period desired and enter the appropriate fee below):				
Fee		Small Entity Fee		
One month (37 CFR 1.17(a)(1))	\$120	\$60	\$	
Two months (37 CFR 1.17(a)(2))	\$450	\$225	\$	
X Three months (37 CFR 1.17(a)(3))	\$1020	\$510	\$ <u>1020</u>	
Four months (37 CFR 1.17(a)(4))	\$1590	\$795	\$	
Five months (37 CFR 1.17(a)(5))	\$2160	\$1080	\$	
Applicant claims small entity status. See 37 CFR 1.27.				
X A check in the amount of the fee is enclosed.				
Payment by credit card. Form PTO-2038 is attached.				
The Director has already been authorized to charge fees in this application to a Deposit Account.				
The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to				
Deposit Account Number 50-0573 . I have enclosed a duplicate copy of this sheet.  WARNING: Information on this form may become public. Credit card information should not be included on this form.				
Provide credit card information and authorization on PTO-2038.				
I am the applicant/inventor.				
assignee of record of the entire interest. See 37 CFR 3.71.				
Statement under 37 CFR 3.73(b) is enclosed (Form PTO/SB/96).				
X attorney or agent of record. Registration Number <u>36,252</u>				
attorney or agent under 37 CFR 1.34. Registration number if acting under 37 CFR 1.34				
December 4, 2006				
Signature			Pate	
JANET E. REED		(215) 988–3338		
Typed or printed name		Telephone Number		
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.				
X Total of forms are s	submitted.	CUSTOMER NO. 2	3973	

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# P2942 US Listing\_ST25.txt SEQUENCE LISTING

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Page 4

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# P2942 US Listing\_ST25.txt

# comprising Gly-Ile

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          (13)..(13)
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D-Thr, Ile, Val, Gly or Asn optionally is replaced by -NH2
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Applicant: Lambris, John D. File Ref:: 46406-0001-00-US (P2942) Saved to Disk: 2006-11-29 Title: Compstatin Analogs with Improved Activity Filing Date: 2005-03-18 Appl. No. 10/528,496 Operating Sys: Windows 5.01



# FIRST CLASS MAIL

DrinkerBiddle&Reath One Logan Square 18th & Cherry Streets Philadelphia, PA 19103-6996 From

Alexandria, VA 22313-1450 Mail Stop 1() Commissioner for Patents P. O. Box 1450

















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